

# 1 **The *Microcystis*-microbiome interactions: origins of the colonial lifestyle**

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## 13 14 **Abstract**

15  
16 Species of the *Microcystis* genus are the most common bloom-forming toxic  
17 cyanobacteria worldwide. They belong to a clade of unicellular cyanobacteria whose  
18 ability to reach high biomasses during blooms is linked to the formation of colonies.  
19 Colonial lifestyle provides several advantages under stressing conditions of light  
20 intensity, ultraviolet light, toxic substances and grazing. The progression from a  
21 single-celled organism to multicellularity in *Microcystis* has usually been interpreted  
22 as individual phenotypic responses of the cyanobacterial cells to the environment.  
23 Here, we synthesize current knowledge about *Microcystis* colonial lifestyle and its  
24 role in the organism ecology. We then briefly review the available information on  
25 *Microcystis* microbiome and propose that changes leading from single cells to  
26 colonies are the consequence of specific and tightly regulated signals between the  
27 cyanobacterium and its microbiome through a biofilm-like mechanism. The resulting  
28 colony is a multi-specific community of interdependent microorganisms.

29  
30 **Keywords:** *Microcystis*, microbiome, colonies, mucilage, EPS, holobiont

## 35 Introduction

36 It has been since a long time ago that microbiologists have noticed that  
37 bacteria do not always live as single cells. Many of the known bacterial species have  
38 the ability to grow in a multicellular and coordinate way, the biofilms. Bacterial  
39 biofilms are defined as aggregates of microbial cells surrounded by a self-produced  
40 polymer matrix that can be composed by a single (mono-specific) or several species  
41 (multi-specific) living in a collaborative way. Biofilm growth of microorganisms was  
42 first defined in medical microbiology, when it was also demonstrated that biofilm-  
43 embedded organisms have an increased antimicrobial resistance compared to those  
44 growing as planktonic bacteria (Nickel *et al.* 1985).

45 The classic conceptual model of biofilm formation involves motile planktonic cells  
46 that become attached to a surface in response to a variety of environmental signals  
47 (Sauer *et al.* 2022). Attached cells produce a hydrated matrix of extracellular  
48 polysaccharides (EPS), extracellular DNA, proteins and lipids (Flemming and  
49 Wingender 2010), changing their structure and functional relationships. After a while,  
50 sessile cells arranged in microcolonies from where some cells can escape to return  
51 to the planktonic lifestyle and subsequently colonize a new surface (Petrova and  
52 Sauer 2016). Although biofilm cells encounter stronger gradients of nutrients and  
53 waste products than during planktonic life, they are embedded in a more controllable  
54 environment (Stewart and Franklin 2008).

55 In the case of aquatic cyanobacteria, despite the increasing amount of information  
56 regarding their ecology, the biofilm concept is generally associated with benthic  
57 species, which form mats in several aquatic ecosystems (Stal 2012). Among the  
58 planktonic groups we will focus on *Microcystis* spp., a complex of cyanobacteria from  
59 the Chroococcales order that live in freshwater and brackish waters. They form  
60 dense blooms in eutrophic ecosystems (Paerl 1988; Huisman *et al.* 2018) and can be  
61 found as single cells or in colonies floating near the surface, with a size spectrum  
62 ranging from ca. 4  $\mu\text{m}$  (single cells) to hundreds of microns (large colonies)  
63 (Reynolds *et al.* 1981) that can be detected by naked eye.

64 Interestingly, *Microcystis* belongs to a phylogenetic group of unicellular  
65 cyanobacteria and its ability to form colonies is usually considered as an ecological  
66 aggregation strategy to avoid predation or protect from ultraviolet radiation, among  
67 others. In this context, colony formation by these organisms has been explained  
68 either by cell division (the usual bacterial process to multiply) or cell adhesion (Yang

69 *et al.* 2008). However, recent genomic evidence suggests that colonies in *Microcystis*  
70 result from clonal expansion rather than cell aggregation (Carrascal *et al.* 2021).

71 In spite of the amount of information regarding *Microcystis* ecology, colony  
72 formation and toxicity, little is known about the biological interactions taking place  
73 inside the colony and their role in *Microcystis* biology and evolution. Here, we focus  
74 on i) the characteristics shared by bacterial biofilms and *Microcystis* colonies; ii) the  
75 current knowledge about colony formation process in *Microcystis*; iii) the evidence on  
76 the existence of quorum sensing (QS) in *Microcystis* and; iv) the information about  
77 community composition and function of the colony-associated microbiota. Based on  
78 this, we propose that the morphological, functional and microbiome compositional  
79 changes occurring from single cells to colonies are consequence of biological and  
80 ecological interactions between the cyanobacterium and the heterotrophic bacteria.  
81 These specific and carefully regulated interactions are bi-directional and induce the  
82 development of a mucilaginous envelope that will host the heterotrophic community  
83 through a biofilm-like mechanism. Taking this into account a conceptual model of  
84 emergence and decay of these floating multi-specific biofilms of *Microcystis* is  
85 presented.

### 87 ***Microcystis* blooms and microcystins production**

88 *Microcystis* blooms are composed by a mixture of populations able to produce  
89 secondary metabolites called microcystins that are toxic to animals and humans, and  
90 by non-toxic populations. It has been shown that high water temperature (between 25  
91 and 30 °C) promotes the growth of *Microcystis* populations able to produce  
92 microcystin (toxic), while non-toxic populations seem to have less tolerance to  
93 variable environmental conditions (Davis *et al.* 2009; Van de Waal *et al.* 2011).  
94 Therefore, it is very likely that under the current climate warming and worldwide  
95 eutrophication scenario a dominance of cyanobacterial blooms containing a higher  
96 percentage of toxic *Microcystis* will occur (Paerl and Huisman 2008; Kruk *et al.*  
97 2023), making it very relevant to understand the biology and ecology of these  
98 organisms.

99 Until now, studies on the ecology of *Microcystis* have focused on the  
100 determinants of its growth, potential toxicity and diversity (Dick *et al.* 2021). More  
101 recently, the structure and function of its microbiome and its role in the survival and  
102 fitness of the cyanobacterium have started to be included (Jankowiak and Gobler

103 2020; Schmidt *et al.* 2020; Carrascal *et al.* 2021). However, there is no consensus on  
104 the mechanisms that determine the production of microcystins, the density and  
105 persistence of blooms or the microbiome community structure. In this sense, the  
106 evidence from different studies is frequently contradictory, since some works are  
107 based on axenic cultures of unicellular forms (hard to find in nature), others on  
108 environmental samples and others analyse and compare sequences obtained either  
109 from isolates, environmental DNA or enrichments from blooms, making  
110 generalizations difficult (Pimentel and Giani 2014; Martin *et al.* 2020; Zhou *et al.*  
111 2020; Yang *et al.* 2022; Dai *et al.* 2023; Yin *et al.* 2024). Another possible explanation  
112 for the contradictions is that the factors driving bloom formation may be uncoupled  
113 from those driving toxicity, perhaps due to complex regulation pathways associated  
114 not only to the cyanobacterium, but also to its heterotrophic partners.

115

### 116 **Similarities between *Microcystis* colonies and biofilms**

117 In biofilms, attached cells produce a hydrated matrix of extracellular  
118 polysaccharides (EPS), extracellular DNA, proteins and lipids, changing their  
119 structure and functional relationships (Stoodley *et al.* 2002). But bacterial biofilms can  
120 also exist in the air-liquid interface forming floating biofilms or pellicles. This interface  
121 provides access to oxygen and other gasses from the air, as well as nutrients from  
122 the liquid phase through opposing gradients (Armitano, Méjean and Jourlin-Castelli  
123 2014).

124 *Microcystis* colonies are extremely buoyant, commonly forming wind-blown  
125 scums. Their position relative to the surface can be achieved thanks to the presence  
126 of gas vesicles aggregations in the cytoplasm (Šmarda and Maršálek 2008), which  
127 allow them to regulate their vertical position in the water column and to form the  
128 colony in a suitable position to receive the right amount of light, oxygen, CO<sub>2</sub> and  
129 nitrogen, which is necessary to build the protein vesicles (Wu *et al.* 2023). The EPS  
130 matrix contributes to buoyancy and has the same composition that has been  
131 described for pellicle-forming bacteria (Armitano, Méjean and Jourlin-Castelli 2014),  
132 such as glucose, galactose, rhamnose, mannose or cellulose (Lei *et al.* 2007; Li *et al.*  
133 2009). This matrix creates a microenvironment called the phycosphere, where  
134 complex ecological interactions between phytoplankton and bacteria occur (Seymour  
135 *et al.* 2017).

136 Colony formation in *Microcystis* can be induced by abiotic factors causing stress,  
137 such as low temperature (15 °C) and low light intensity (10  $\mu\text{mol photons m}^{-2} \text{s}^{-1}$ )  
138 (Yang *et al.* 2012; Li *et al.* 2013; Xu *et al.* 2016). In the presence of high  
139 concentration of calcium (Wang *et al.* 2011; Sato *et al.* 2017) and lead, the formation  
140 of colonies reaching more than 100  $\mu\text{m}$  diameter can be induced and its EPS acts  
141 trapping the metal ions (Bi *et al.* 2013). As for bacterial biofilms, the ability of  
142 *Microcystis* to form colonies has also been linked to antibiotic resistance, since low  
143 concentrations of aminoglycoside antibiotics induced cell aggregation, suggesting a  
144 protective role for the EPS (Tan *et al.* 2018). Another characteristic shared by  
145 biofilms and *Microcystis* colonies is cellular motility. Genes encoding for type IV pili  
146 (e.g., *pilT*) have been found in *Microcystis aeruginosa* PCC 7806 (Nakasugi and  
147 Neilan 2005), which may indicate that cells can move by means of twitching motility  
148 during the initial arrangement of the cells inside the growing colony (Maier and Wong  
149 2015). As the colony grows and the biofilm starts to mature, water channels develop  
150 and a differentiation in physiological processes among cells start to establish in  
151 response to conditions in their particular environments.

152 There is growing evidence relating colony size with the amount of microcystin  
153 they produce. For example, it has been shown that colonies in the size range from 60  
154 to 150  $\mu\text{m}$  diameter are those producing higher amounts of microcystins compared to  
155 single cells or smaller colonies (Gan *et al.* 2012; Deus Álvarez *et al.* 2020). On the  
156 other hand, depletion of extracellular microcystin concentrations showed a decrease  
157 in colony size (Gan *et al.* 2012). Thus, the evidence suggests that released  
158 microcystins could act as an infochemical-related mechanism involved in the biofilm  
159 maintenance. However, if microcystins are involved in a QS-like mechanism remains  
160 uncertain.

161 Regarding QS, acylated homoserine lactones (AHLs) have been found in  
162 cultures of *M. aeruginosa* PCC-7820 (Zhai *et al.* 2012). Electron microscope  
163 photographs of *M. aeruginosa* supplemented with AHLs showed a shift from single  
164 free-living cells to a biofilm-like membrane. This suggests that QS might play an  
165 important role in the environmentally-driven morphological changes of *M. aeruginosa*,  
166 providing strong evidence that it regulates colony formation through a coordinated  
167 multicellular behaviour as that described for biofilms. This was confirmed more  
168 recently, when addition of several AHLs from Gram negative bacteria to cultures of

169 *Microcystis* induced colony formation (Herrera and Echeverri 2021). The fact that  
170 AHLs belonging to several species were able to induce a response in *Microcystis*  
171 implies that the QS behaviour leading to colony formation could be triggered by  
172 members of the microbiome. Moreover, (Shi *et al.* 2022) showed that several  
173 transcripts for pathways involved in biofilm formation were enriched in the *Microcystis*  
174 colonial form compared to single cells. These transcripts belonged mainly to  
175 heterotrophic bacteria from the microbiota, meaning that QS in *Microcystis* is an  
176 ability conferred by the cyanobacterium and its microbiome acting cooperatively. This  
177 kind of multi-species, multicellular behaviour may have ecosystem-level effects on  
178 several processes, e.g., nutrient cycling, toxin biosynthesis, bloom stability, etc. (Van  
179 Le *et al.* 2022).

180

### 181 **The *Microcystis* holobiont**

182 Current vision of organism's evolution is increasingly incorporating the concept of  
183 holobiont, which recognizes the widespread occurrence of host-associated  
184 microbiomes and makes emphasis on the multispecies nature of host-microbiome  
185 assemblage (Bordenstein and Theis 2015). In the case of *Microcystis*, the colonial  
186 organism is in fact composed of a myriad of different bacterial species interacting and  
187 exchanging common goods (nutrients, gasses, carbon, genes) inside the  
188 mucilaginous envelope of the cyanobacterium, which confers it an extremely high  
189 ability to survive in different environmental conditions (Cook *et al.* 2020). Thus, it  
190 seems sound to conclude that the colonial organism we call *Microcystis* is in fact a  
191 holobiont. But, how is this prokaryotic holobiont formed?

192 It has been reported that the highly diverse microbiome of *Microcystis* colonies  
193 differs markedly from that present in single cells (Wu *et al.* 2019). Co-cultivation of  
194 axenic, single-celled cultures of *Microcystis* with heterotrophic bacteria isolated from  
195 *Microcystis* colonies stimulated cyanobacterial growth and induced the production of  
196 EPS, allowing to reconstitute colony formation (Reynolds 2007; Shen *et al.* 2011;  
197 Wang *et al.* 2016). Moreover, the existence of a metabolic interdependence between  
198 *Microcystis* and its microbiome has been proposed (Jackrel *et al.* 2019; Cook *et al.*  
199 2020), suggesting that the ability to compete with other phytoplankton groups would  
200 not be determined by the toxin production but by genes from its microbiome (Schmidt  
201 *et al.* 2020). Therefore, there is evidence of a clear and strong relationship between  
202 the presence of an extracellular matrix and the recruitment of heterotrophic bacteria,

203 which stimulate colonial growth through QS to form a three-dimensional structure  
204 where the exchange of common goods occurs. This constitutes a complex holobiont  
205 organism whose formation must have involved the establishment of a symbiotic  
206 relationship early in the evolution of the cyanobacterium. As a unicellular  
207 cyanobacteria, *Microcystis* can only achieve a multicellular stage through its  
208 relationship with the symbiotic partners. This hypothesis would also explain the  
209 reversion from colonies to single cells observed when isolating *Microcystis* from  
210 environmental samples (Wang *et al.* 2015), probably due to the several dilutions and  
211 washing steps that remove the attached bacteria.

212

### 213 **Conceptual model for colony formation in *Microcystis* holobiont**

214 The information gathered so far about colony formation in *Microcystis* spp.  
215 suggests that the mechanisms involved in this process are the same as those  
216 defined for biofilm formation in a number of bacterial species. *Microcystis* can switch  
217 from single cells to colonies organized into a coordinated functional community that is  
218 embedded in an EPS matrix teemed with a diversity of heterotrophic bacteria living  
219 mainly in a cooperative manner with the cyanobacterial cells (Figure 1). The change  
220 from single cells to multicellular organization would be triggered by autoinducers  
221 molecules (e.g., AHLs) synthesized either by the cyanobacterium, by the  
222 microbiome, or both, in response to environmental cues (e.g., resource-rich  
223 conditions). As the population grows, the resources become less available and the  
224 AHLs upregulate a number of functional genes allowing the organisms to thrive under  
225 conditions that would not be favourable, such as nutrients or light shortage, oxidative  
226 stress, etc. The main components of the biofilm mucilage are EPS, DNA from lysed  
227 cells, proteins, lipids and heterotrophic bacteria that live embedded in this matrix.  
228 This bacterial community has a very constant structure, its functional relationships  
229 with the cyanobacterium are closely intertwined and involves the trade of different  
230 goods, allowing the holobiont to survive. The resulting multi-specific biofilm is not  
231 built from the attachment of the cells to an abiotic or biotic surface, but on the  
232 attachment of cells to each other to form a floating biofilm that thrives in a highly  
233 diverse array of environmental conditions.

234

235

## 236 **Future directions**

237 Understanding the mechanism underlying the multispecific biofilm (colony)  
238 formation in *Microcystis* holobiont would help to unveil the role of the microbiome in  
239 the evolution and environmental performance of these organisms. This will be useful  
240 to determine not only the biotic or abiotic conditions triggering microcystin production,  
241 but also to uncover the role of microcystin in the holobiont ecology and, therefore, in  
242 blooms development. We expect that this kind of knowledge would improve current  
243 (and sometimes contradictory) models of growth, fitness, dispersal and decay of  
244 these cyanobacteria, contributing to water management and risk assessment.

245

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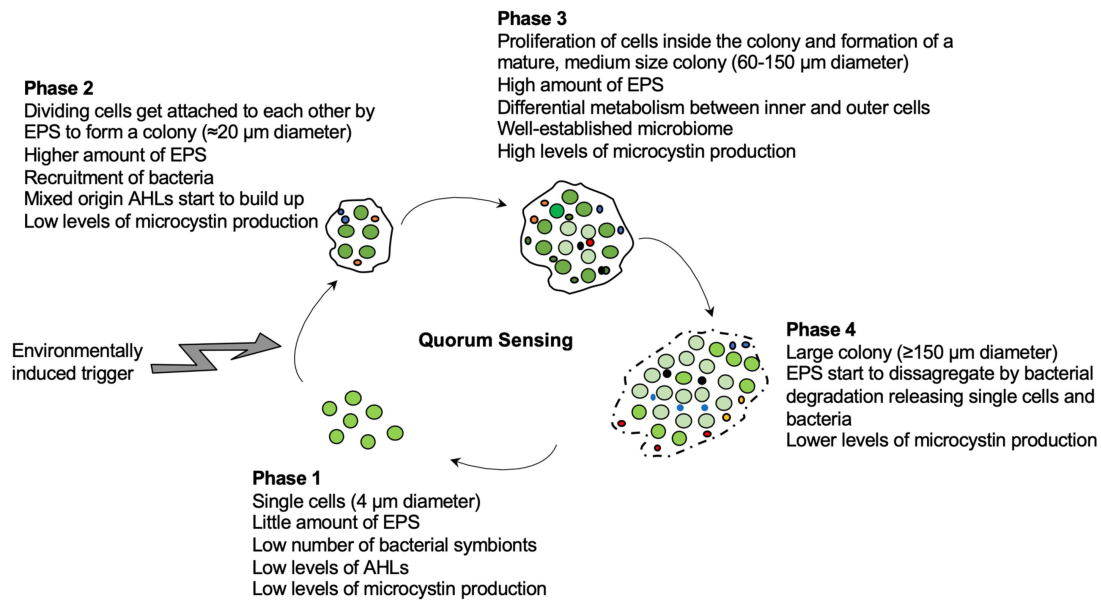


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398 **Figure 1. Proposal for the floating biofilm formation of *Microcystis*.** Four phases399 can be distinguished during the development of a *Microcystis* biofilm according to its

400 lifestyle (single celled vs. attached), EPS and microcystin production, presence of an

401 established microbiome and autoinducers concentration (AHLs). Phase 1 is

402 composed of single cells (4 μm diameter, green circles) having little amount of EPS

403 mucilage, low levels of microcystin production and low levels of AHLs. Phase 2 starts

404 with the initial attachment of dividing cells to each other to form a colony surrounded

405 by a higher amount of EPS mucilage, cells probably mobilize inside the colony and

406 they have low levels of microcystin synthesis while AHLs start to build up and other

407 bacteria (smaller red, blue and black circles) start to attach to the EPS. In Phase 3,

408 the proliferation of cells inside the colony and their interactions with cyanobacterial

409 cells allow the formation of a mature biofilm, with elevated amounts of EPS, high

410 levels of microcystin production and clearly different metabolism between inner and

411 outer cells. A microbiome is well established. The Phase 4 is characterized by large,

412 amorphous colonies, low levels of microcystin production and disaggregation of the

413 mucilage by bacterial degradation of the EPS (typically at the end of a bloom). We

414 propose that the onset of a bloom will depend on abiotic and biotic conditions and on

415 the phase of the *Microcystis* community, being more likely to develop a high biomass

416 in a short time period during phase 3 (active cells, with high microcystin production

417 rates).

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